



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

THOMAS KOEHLER ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/655,977 (informal)

Filed: September 5, 2003

For: PROCESS FOR THE PREPARATION  
OF ORGANOPOLYSILOXANE RESIN

Attorney Docket No.: WAS 0600 PUS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is being submitted within three months of the filing date of September 5, 2003, 37 C.F.R. § 1.97(b)(1), and therefore no fee is due for its submission.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Angelika Budczinski describing the content of the references cited in the Information Disclosure Statement.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 14, 2004  
Date of Deposit

William G. Conger  
Name of Person Signing

  
Signature

If the filing date of this application is on or before June 30, 2003, a copy of each reference listed on the attached Forms PTO/SB08A and/or SB08B is included herewith. If this application was filed after June 30, 2003, copies of any cited U.S. patent/application references have not been included. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

**THOMAS KOEHLER ET AL.**

By:   
William G. Conger  
Reg. No. 31,209  
Attorney/Agent for Applicant

Date: January 14, 2004

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Fax: 248-358-3351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
KOEHLER ET AL. :  
Serial Number : Group Art Unit:  
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For: PROCESS FOR THE PREPARATION OF ORGANOPOLYSILOXANE RESIN

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Angelika Budczinski, associated with the preparation and prosecution of the above-identified application, residing at Ossannastraße 16, 80999 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application, in the enclosed Office Action of the German Patent and Trademark Office as well as in the enclosed European Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE 42 16 139 A1, cited in the application as well as in the German Office Action, an English Derwent Abstract is enclosed.

For DE 42 43 895 A1, cited in the application, an English Derwent Abstract is enclosed.

For DE 41 32 697 A1, cited in the application, an English Derwent Abstract is enclosed.

For JP 03-139526 A, cited in the German Office Action, the English Derwent Abstract of 1991, Ref. 91-218498/3 is enclosed.

For JP 06-107797 A, cited in the German Office Action, please see the enclosed Chemical Abstract of 1994, Ref. 121:84344t.

For EP 604847 A1, cited in the German Office Action, the English Derwent Abstract of 1994, Ref. 94-210121/26 is enclosed.

For EP 535687 A1, cited in the German Office Action, the English Derwent Abstract of 1993, Ref. 93-110999/14 is enclosed.

US-A 5,847,180, cited in the German Office Action, is enclosed.

US-A 5,548,053, cited in the European Search Report, is enclosed.

For EP 0 707 028 A1, cited in the European Search Report, the corresponding US 5,861,457 A is enclosed.

For DE 44 19 706 A, cited in the European Search Report, an English Derwent Abstract is enclosed.

For DE 39 39 846 A, cited in the European Search Report, the corresponding GB 2230018 is enclosed.

Signed this 3 day of November, 2003.



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Dr. Angelika Budzinski

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

A circular stamp with a double-line border. The outer ring contains the letters 'O I P E' at the top and 'ST A T E' at the bottom. The inner circle is divided into four quadrants by a cross. The top-left quadrant contains 'JAN 21 2004'. The top-right quadrant is blank. The bottom-left quadrant contains 'PATENT & TRADEMARK OFFICE'. The bottom-right quadrant contains 'U.S. GOVERNMENT PRINTING OFFICE'.

<p>Substitute for Form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>		<b>Complete if Known</b>	
		Application Number	10/655,977
		Filing Date	September 5, 2003
		First Named Inventor	THOMAS KOEHLER ET AL.
		Group Art Unit	Unknown
		Examiner Name	Unknown
Attorney Docket Number	WAS 0600 PUS		
Sheet	1	of	2

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



Substitute for Form 1449, PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/655,977
				Filing Date	September 5, 2003
				First Named Inventor	THOMAS KOEHLER ET AL.
				Group Art Unit	Unknown
Examiner Name	Unknown				
Sheet	2	of	2	Attorney Docket Number	WAS 0600 PUS

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		English Derwent Abstract AN 1996-021357[03] corresp. to DE 44 19 706 A1	
		English Derwent Abstract, 1991, Ref. 91-218498/3 corresp. to JP 03-139526 A	
		English Derwent Abstract, 1994, Ref. 94-210121/26 corresp. to EP 604847 A1	
		English Derwent Abstract, 1994, Ref. 93-369785/47 corresp. to DE 42 16 139 A1	
		English Derwent Abstract AN 1994-210121[26] corresp. to DE 42 43 895 A1	
		English Derwent Abstract AN 1993-110999[14] corresp. to DE 41 32 697 A1	
		Chemical Abstract, 1994, Ref. 121:84344t, corresp. to JP 06-107797 A	
		English Derwent Abstract, 1993, Ref. 93-110999/14 corresp. to EP 535687 A1	
		English Derwent Abstract, 1994, Ref. 93-369785/47, corresp. to DE 42 16 139 A1	

Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.